

ATTORNEY DOCKET No. 10010381-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Lefkowitz et al.

Group Art Unit:

1639

Serial No.:

09/944,083

Examiner

My Chau T Tran

Filed:

August 31, 2001

Title:

Methods for Generating Ligand Arrays Via Deposition of Ligands onto Olefin

Displaying Substrates and Arrays Produced Thereby

RECEIVED

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

OCT 1 5 2003

Dear Sir:

TECH CENTER 1600/2900

CONSENT OF ASSIGNEE TO INVENTORSHIP CHANGE ON AN APPLICATION

37 CFR 1.48(a) & 3.73

Agilent Technologies, Inc. is the sole assignee of all interest of the originally named inventors in the present application, as shown by the following chain of Assignments recorded in the U.S. Patent Office:

From the originally named inventors to Agilent Technologies, Inc. recorded at: (1) Reel 013412 and Frame 0686.

Agilent Technologies, Inc. hereby consents to the addition of Charles Z. Hotz as an inventor on the present application.

I am authorized to act on behalf of the assignee Agilent Technologies, Inc.

D. Craig Nordland Senior Vice-President,

General Comsol and Secretary Agilent Technologies, Inc.

October 2, 2003

Date

Quantum Dot Corporation;

STATEMENT BY CHARLES Z. HOTZ PURSUANT TO 37 C.F.R. § 1.48(C)

	1
Application Number	09/944,083
Attorney Docket Number	10010381-1
Filing Date	August 31, 2001
First Named Inventor	Lefkowitz
Examiner	My Chau T Tran
Group Art	1639
Confirmation number	1180
	Methods for Generaling
	Ligand Arrays Via
Title	Deposition of Ligands
	onto Olefin Displaying
	Substrates, and Arrays
	Produced Thereby

Sir.

I, Charles Z. Hotz, state as follows:

OCT 1 5 2003

Addition of myself as an inventor to the above-captioned application is necessecitated by claim amendments made to the above application in the amendment filed herewith. As such, the inventorship error occurred without deceptive intent on my part.

TECH CENTER 1600/2000

I hereby declare that all statements made herein of my own knowledge are true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

10/02/03

Respectfully submitted

			PATENT APPLICATIO
DECLARATION AND POFOR PATENT APPLICAT		ATTORNEY	y DOCKET NO. 10010381-1
	or, I hereby declare that:		
	address and citizenship are		
nt inventor (if plural na tent is sought on the in	mes are listed below) of vention entitled:	the subject matter whi	below) or an original, first ar ch is claimed and for which in Displaying Substrates, and
ethods for Generating Li rays Produced Thereby	Gang Wilsks Als Debositi	on or Eigands Onto Citi	nt Displaying Cassillator, dite
e specification of which	is attached hereto unless		
(X) was filed on _08/3	31/2001 as US Apparent and was amended on	plication Serial No. or Po	CT International Application pplicable)
cluding the claims, as a sclose all information wh reign Application(a) and/or Cla rereby claim foreign priority b rentor(a) certificate listed belo	amended by any amendminich is material to patental aim of Foreign Priority penelits under Title 35. United Sow and have also identified below	ent(s) referred to above bility as defined in 37 C States Code Section 119 of a w any foreign application for	above-identified specifications. I acknowledge the duty IFR 1.56. But foreign application(s) for patent patent or inventor(s) certificate have
COUNTRY	pplication on which priority is d	PATE FLED	PRICHITY CLAIMED UNDER 35 U.S.C. 118
CUONINY	APPARATION NUMBER		YES: NO:
			YES: NO:
ovisional Application			
	r Title 35, United States Code		States provisional application(s) his
·	APPLICATION SERIAL NUMBER	FILING DATE	
· 1			·
sofar as the subject matter of anner provided by the first pro- formation as defined in Title 3	f each of the claims of this applications of the special states of the second s	lication is not disclosed in the rese Code Section 112, I ackr Section 1.56(a) which occur his application:	States application(s) listed below a prior United States application in nowledge the duty to disclose mate red between the filing date of the property of the
APPLICATION BEHINL HUMPEN			
	į.		
OWER OF ATTORNEY: s a named inventor, I herebusiness in the Patent and Trad Customer No	emark Office connected therewi	Place Customer Number Ber Code	ecute this application and transact
s a named inventor, I herebusiness in the Patent and Trad	emark Office connected therewi	Place Customer	ecute this application and transact

imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Inventor:	Steven M. Lefkowitz	Citizenship: USA
Residence:	41 Victoria Drive, Branford, Connecticut	06405
Post Office Address:	Same as above	
nventor's Signature		ate

Page 1 of 2

ECLARATION AND POOR PATENT APPLICAT	OWER OF ATTORNEY FION (continued)	ATTORNEY D	OCKET NO. 10010381-1
Name of # 2 joint inventor:		Citizenship:	South Kores
ience:	71 Cummings Park, Woburl	n, Massachusetts 01801	
Office Address:	Same as above		
:			
(or a signature		Date	
ferne of # 3 joint inventor	Nelson R. Holcomb	Citizenship:	UBA
lence:	530 No. First Street #20, S	an Jose, California 95112	
Office Address:	Same as above		<u>:</u>
:			· ·
ntor & Signature		Date	
;			:
Name of # 4 joint inventor	: John S. Hargreaves	Citizenship:	Great Britain
dence:	1467 Tyker Park Way, Mou	untain View, California 940	40
Office Address:	Same as above		
			:
ntor s Signature		Date	
· •			:
Name of # 5 joint invento	r: Geraldine F. Deilinger	Citizenship:	France
dence:	1483 Falcon Court, Sunny	vale, California 94087	
t Office Address:	Same as above		
			•
entor e signature		Date	
•			· :
# Name of # 6 joint invento	or: Douglas J. Dellinger	Citizenship	USA
aidenca:	1483 Falcon Court, Sunny	vale, California 94087	
at Office Address:	Same as above		·
I UIRE ADDIESE:			
rentor's Signature		Date	
: iB Name of # 7 Joint Invent	or: Charles Z. Hotz	Citizenship	: USA
		ountain View, California 944	
raidence:			
at Office Oddress:	Same as above		5.2 1/12
entor a Senature	TK /	Desce (C)	16/05
,	•	-	·
		Citizenship	
ill Name of # 8 joint invent	hor:	CIUZERSNI	
eldence:			
et Office Address:			

Date

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

ATTORNEY DOCKET NO. 10010381-1

As a below named inventor, I hereby declare that:

My residence/post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and

joint inventor (if plura patent is sought on the			he subject matter wh	ich is claimed and for which a
· · · · · · · · · · · · · · · · · · ·			n of Ligands Onto Ole	fin Displaying Substrates, and
Arrays Produced There	eby			
the specification of wi			-	
(X) was filed on _0 Number	08/31/20 and	oo1 as US App was amended on	lication Serial No. or P	CT International Application applicable).
	as amen	ded by any amendme	nt(s) referred to abov	above-identified specification, e. I acknowledge the duty to CFR 1.56.
Foreign Application(s) and/o	r Claim of	Foreign Priority		
	below and	have also identified below	any foreign application for	any foreign application(s) for patent or patent or inventor(s) certificate having
COUNTRY		APPLICATION NUMBER	DATE FILED	PRIDRITY CLAIMED UNDER 35 U.S.C. 119
				YES: NO:
		· · · · · · · · · · · · · · · · · · ·	· · · · · · · · · · · · · · · · · · ·	YES: NO:
Provisional Application			<u> </u>	
I hereby claim the benefit to below:	inder Title	35, United States Code Se	ection 119(e) of any United	States provisional application(s) listed
	API	PLICATION SERIAL NUMBER	FILING DATE	
U. S. Priority Claim	L			
insofar as the subject matte manner provided by the firs	er of each st paragrap de 37, Coo	of the claims of this applic in of Title 35, United State le of Federal Regulations, S	ation is not disclosed in the s Code Section 112, I ackr ection 1.56(a) which occur	States application(s) listed below and, e prior United States application in the nowledge the duty to disclose material red between the filing date of the prior
APPLICATION SERIAL NUM	BER	FILING DATE	STATUS (p	atented/pending/abandoned)
			-	
POWER OF ATTORNEY:				
	reby appo rademark	int the following attorney(s Office connected therewith:	s) and/or agent(s) to prose	ecute this application and transact all
Customer	Number	022878	Place Customer Number Bar Code	7
			Label here	_
Send Carrespondence to AGILENT TECHNOLOGIE Legal Department, DL42	S, INC.	Direct Telephone Call	s To:	
Intellectual Property Adn P.O. Box 7599 Loveland, Colorado 805	ninistration			
made on information a with the knowledge	and bel that wil , under	ief are believed to be Iful false statements Section 1001 of Title	true; and further than and the like so ma 18 of the United Sta	re true and that all statements t these statements were made de are punishable by fine or tes Code and that such willful t issued thereon.
Full Name of Inventor: Ste	ven M.	_efkowitz	Citizenship: US	Α
Residence: 4	1 Victoria	a Drive, Branford, Con	necticut 06405	
Post Office Address: Sc	ame as a	bove		

Inventor's Signature

Date

Dags 1 of 3

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION (continued)

Davi 12/02 (Dean....)

ATTORNEY DOCKET NO. 10010381-1

Full Name of # 2 joint inventor:	Namyong Kim		Citizenship:	South Korea
Residence:	76 Meadowood Road, North And	over, Mass	sachusetts ()1845
Post Office Address:	Same as above		-,	
Inventor's Signature		Date		
Full Name of # 3 joint inventor:	Nelson R. Holcomb		Citizenship: _	USA
Residence:	1433 Alma Street, Palo Alto, Cali	fornia 943	01	
Post Office Address:	Same as above			
Int KOHIL		Oct	2,20	303
Inventor's Signature		Date	······································	
Full Name of # 4 joint inventor:	John S. Hargreaves		Citizenship:	Great Britain
Residence:	1467 Tyler Park Way, Mountain	View, Calif	ornia 94040)
Post Office Address:	Same as above			
08Hangnen 1.	el		ber 2	rd , 2003
Inventor's Signature		Date		
•				
Full Name of # 5 joint inventor:	Geraldine F. Dellinger		Citizenship:	France
Residence:	440 Erie Drive, Boulder, Colorado	80303		
Post Office Address:	Same as above		***************************************	
Inventor's Signature				· · · · · · · · · · · · · · · · · · ·
mivantor a digitatore		Date		
				1104
Full Name of # 6 joint inventor:			Citizenship:	USA
Residence:	440 Erie Drive, Boulder, Colorado	80303		
Post Office Address:	Same as above			
Inventor's Signature		Date		
Full Name of # 7 joint inventor:	Charles Z. Hotz		Citizenship:	USA
Residence:	2434 Benjamin Drive, Mountain View, California 94043			
Post Office Address:	Same as above			
Inventor's Signature		Date		
Full Name of # 8 joint inventor:	:		Citizenship:_	
Residence:				
Post Office Address:				
•				
Inventor's Signature		Date		